



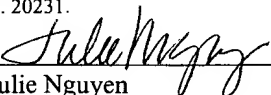
SECTOR \$  
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PATENT  
Docket No. SJ09-2000-0135

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

Date of Deposit: November 30, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service as "FIRST CLASS MAIL" in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Julie Nguyen

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Pan, et al.

Serial No.: 09/676,216

Filing Date: September 28, 2000

Title: INTEGRATED LEAD SUSPENSION  
FOR HIGH DENSITY DRIVE

Examiner:

Group Art Unit: 2652

TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In complete response to the Notice to File Missing Parts of Application Under 37 C.F.R. § 1.53(c) dated November 7, 2000, attached please find:

- ☒ Combined Declaration and Power of Attorney
- ☒ Assignment Papers (cover sheet & document(s))
- ☒ Information Disclosure Statement (IDS) / PTO – 1449
- ☒ Copies of IDS Citations
- ☒ Return Receipt Postcard (MPEP 503)
- ☒ Copy of Missing Parts Notice Form PTO – 1627.

☒ Check no. 362 (\$1334.00) for:

Basic Filing Fee (\$690.00)

9 Total Claims over 20 (\$162.00)

4 Independent Claims over 3 (\$312.00)

Late filing fee (\$130.00)

Assignment Recording Fee (\$40.00)

The Assistant Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§ 1.16 and 1.17 that may be required by this transmittal and associated documents, or to credit any overpayment to **Deposit Account No. 50-1288**. A duplicate copy of this transmittal is enclosed for that purpose.

Respectfully submitted,

Dated: November 30, 2000

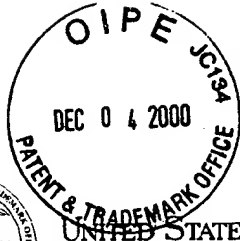
By:



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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/676,216	09/28/2000	Tzong-Shii S. Pan	SJ09-2000-0135

Wen Liu  
Liu & Liu LLP  
Suite 1100  
811 West 7th Street  
Los Angeles, CA 90017

## FORMALITIES LETTER



\*OC000000005536579\*

Date Mailed: 11/07/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

12/07/2000 YPOLITE1 00000007 501288 09676216

FILED UNDER 37 CFR 1.53(b)

02 FC:101	710.00 OP
03 FC:103	162.00 OP
04 FC:105	130.00 OP
05 FC:102	292.00 OP
28.00 CH	

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$474.
  - \$162 for 9 total claims over 20.
  - \$312 for 4 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1294.

The following item(s) appear to have been **omitted** from the application:

- Figure(s) **7A,B and C** described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the Patent and Trademark Office (PTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(i))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the PTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was

filed in the PTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the PTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the PTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the PTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

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*A copy of this notice MUST be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE